



U.S. Department of Transportation Federal Transit Administration



Professional Development Workshop
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Overview of Presentation

- Region IV Overview
- Financial Assistance (Grants)
- National Initiatives and Priorities
- American Recovery & Reinvestment Act (ARRA)
- On the Horizon: 2012 and Next Authorization
- Next Steps





FTA: Who We Are

- FTA is part of USDOT
- FTA's programs are authorized in the Federal Transit Act, SAFETEA-LU (49 USC Ch 53)
 - Recently extended for the 8th time.
 - 30 Sept 2009 – expiration date
 - Most recent extension through June 30, 2012.
- FTA provides stewardship of \$10B annually supporting locally planned, constructed and operated public transportation systems.
- Headquartered in Washington DC, with 10 Regional Offices and 4 Metro Offices





FTA Organization

- Approximately 520 staff
- HQ in Washington DC + 10 Regional Offices





Region IV Geographic Responsibility

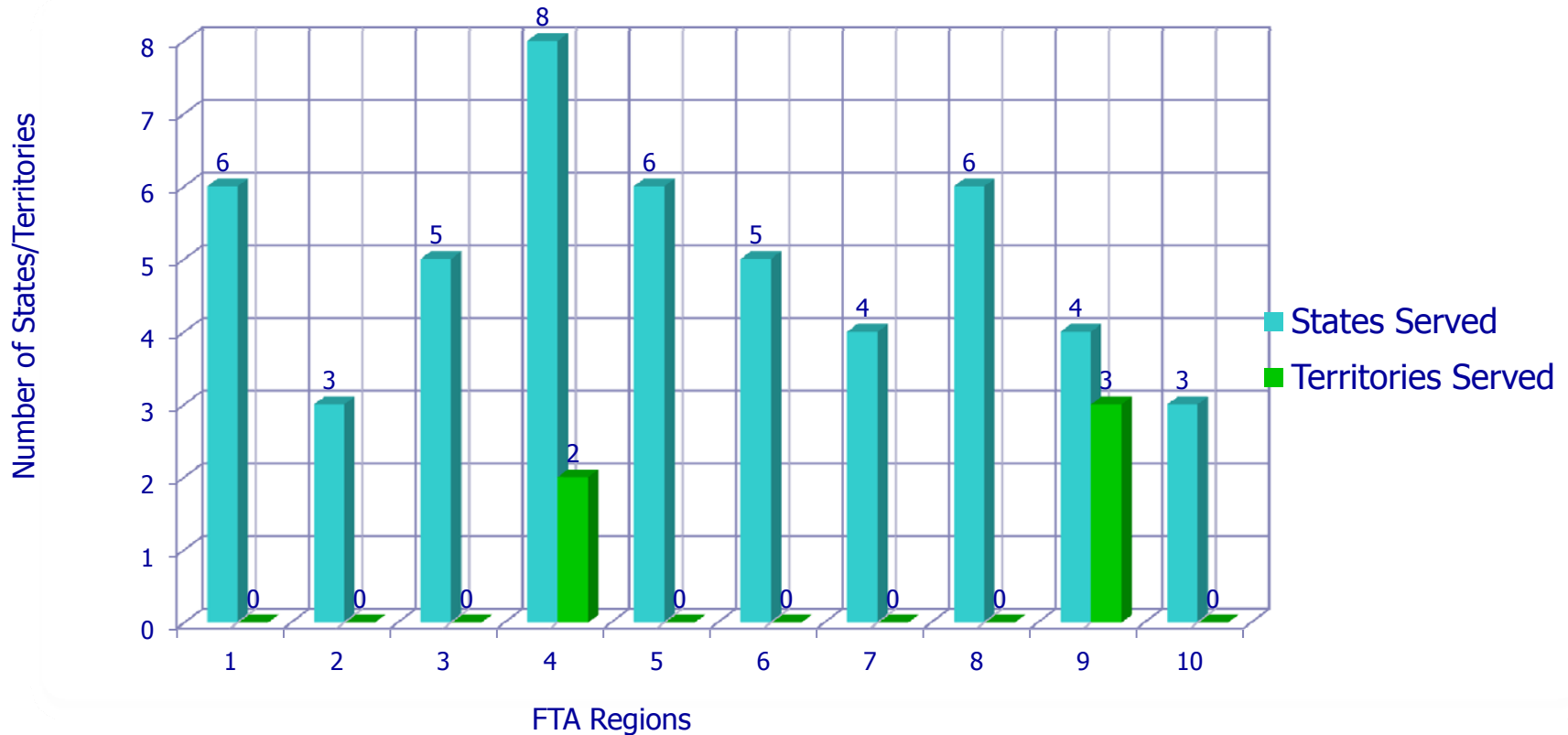
8 States and 2 U.S. Territories





Comparison of Regional Geographic Responsibilities

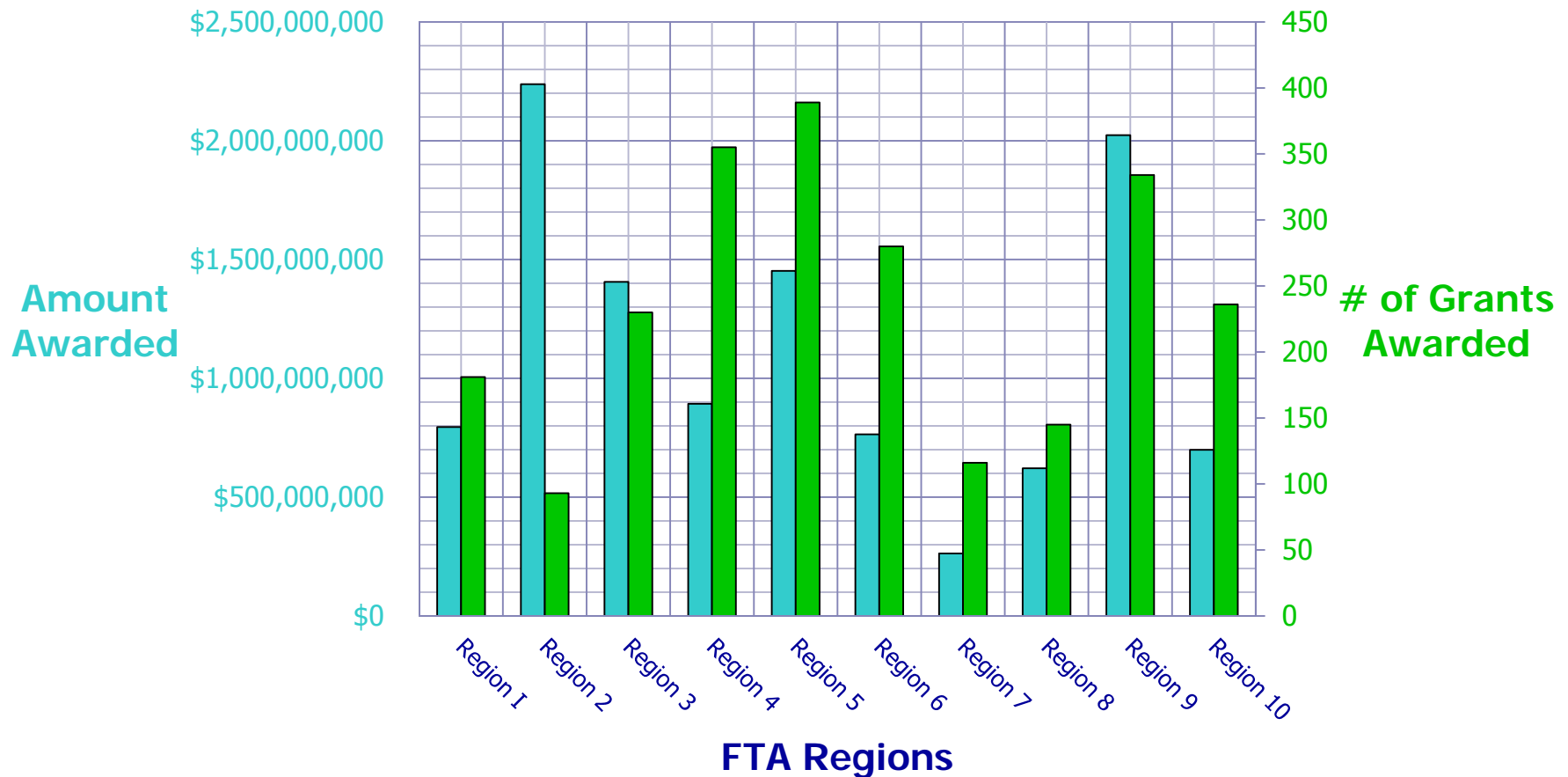
States/Territories Served by FTA Regions





Comparison of Regional Geographic Responsibilities

FY 2011 Grant and Funding Awards by Region





Financial Assistance – FY 2011

FY 2011 Grant Awards – FTA Region IV

<u>State</u>	<u>Total Grants</u>		<u>Total Award</u>
Alabama	19	\$	47,688,323
Florida	108	\$	295,743,812
Georgia	30	\$	155,265,388
Kentucky	27	\$	48,134,253
Mississippi	12	\$	23,068,210
North Carolina	62	\$	151,679,704
Puerto Rico	20	\$	44,434,383
South Carolina	26	\$	39,456,491
Tennessee	61	\$	89,718,646
Virgin Islands	0	\$	--
Totals	365	\$	895,189,210





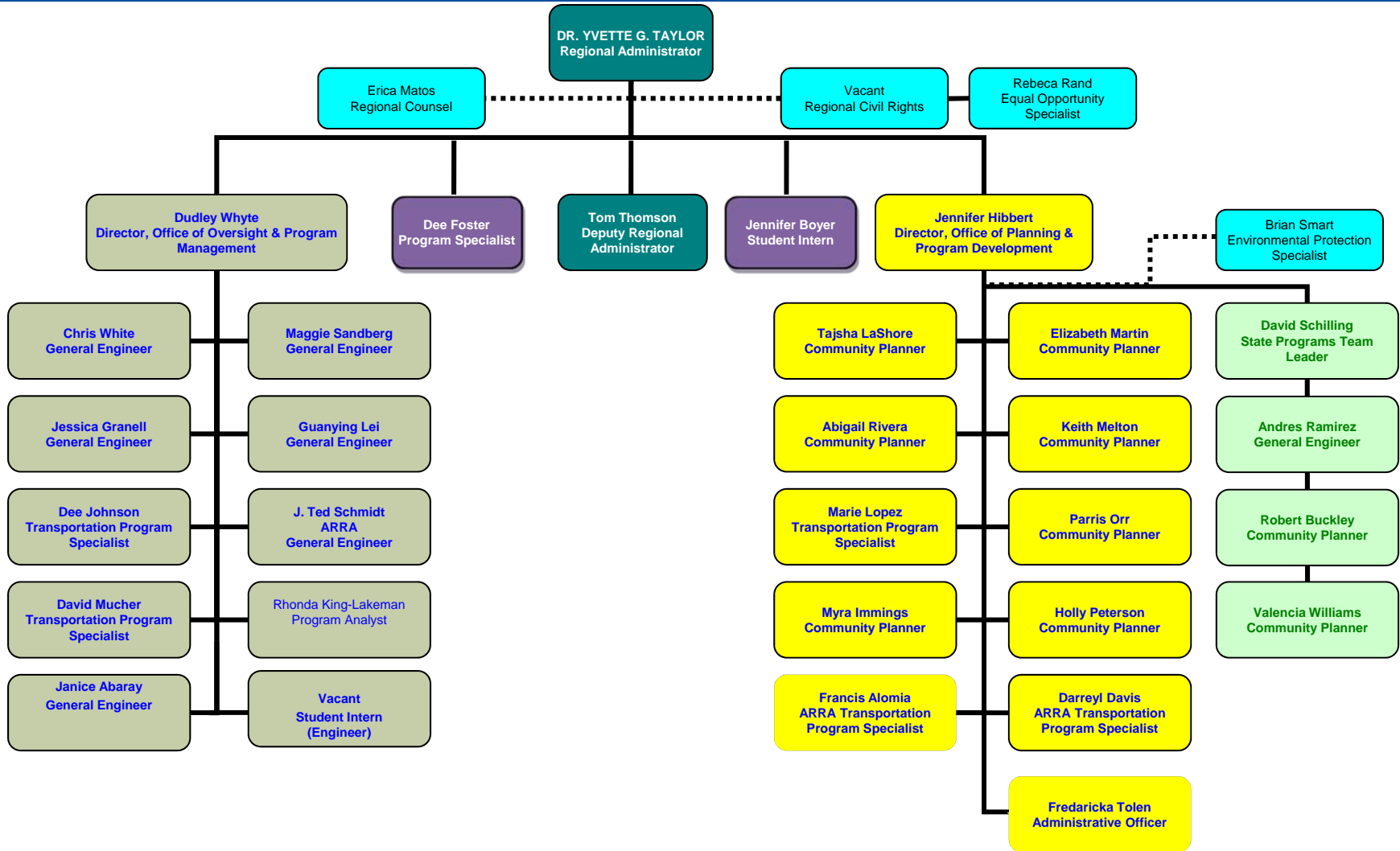
Region IV Office

- Regional & Deputy Administrator
- Legal & Civil Rights Officer
- Community Planners & Transportation Program Specialists
- General Engineers & Transportation Program Specialists
- State Programs Team
- ARRA Support Staff (Temp)
- Support Staff





Region IV Office





Region IV Office

State Programs Team

- Focused on the needs and requirements of State DOTs
- Team Members:
 - David Schilling (Team Leader): NCDOT, SCDOT, Virgin Islands
 - Andres Ramirez: ALDOT, ADSS, FDOT
 - Valencia Williams: MDOT, TDOT
 - Robert Buckley: GDOT, GADHS, KYTC





Region IV Office

Other Region IV Teams

- GA-FL Team

- Mission: To develop and implement procedures to enhance planning, grant development and oversight in order to increase efficiency and improve customer service to our grantees and partners

- Roles

- Planners
- Grant Managers
- Engineers





Financial Assistance: Eligible Activities and Recipients

- In general, FTA provides *three* broad categories of grant assistance:
 - Planning
 - Capital
 - Operating
- Public bodies (i.e. states, cities, towns, regional governments, transit authorities, etc.)





Financial Assistance

- **Formula Grant Programs**

- How much money a grantee receives is determined by a formula established in law



- **Discretionary Grant Programs**

- Congress or FTA determines how much money an individual grantee receives, based on competition.





Financial Assistance

Formula Programs

5303, 5304 Planning

5307 UZA Funds

5310 Elderly & Individuals with
Disabilities

5309 Fixed Guideway Mod

5311 Non-UZA Funds

5316 JARC

5317 New Freedom

Discretionary Programs

5308 Clean Fuels

5309 Bus and Bus Facility

5309 New Starts/Small Starts

5311 Tribal Transit

5314 Research

5320 Sarbanes Transit in the Parks

5339 Alternatives Analysis

Over-the-Road Bus

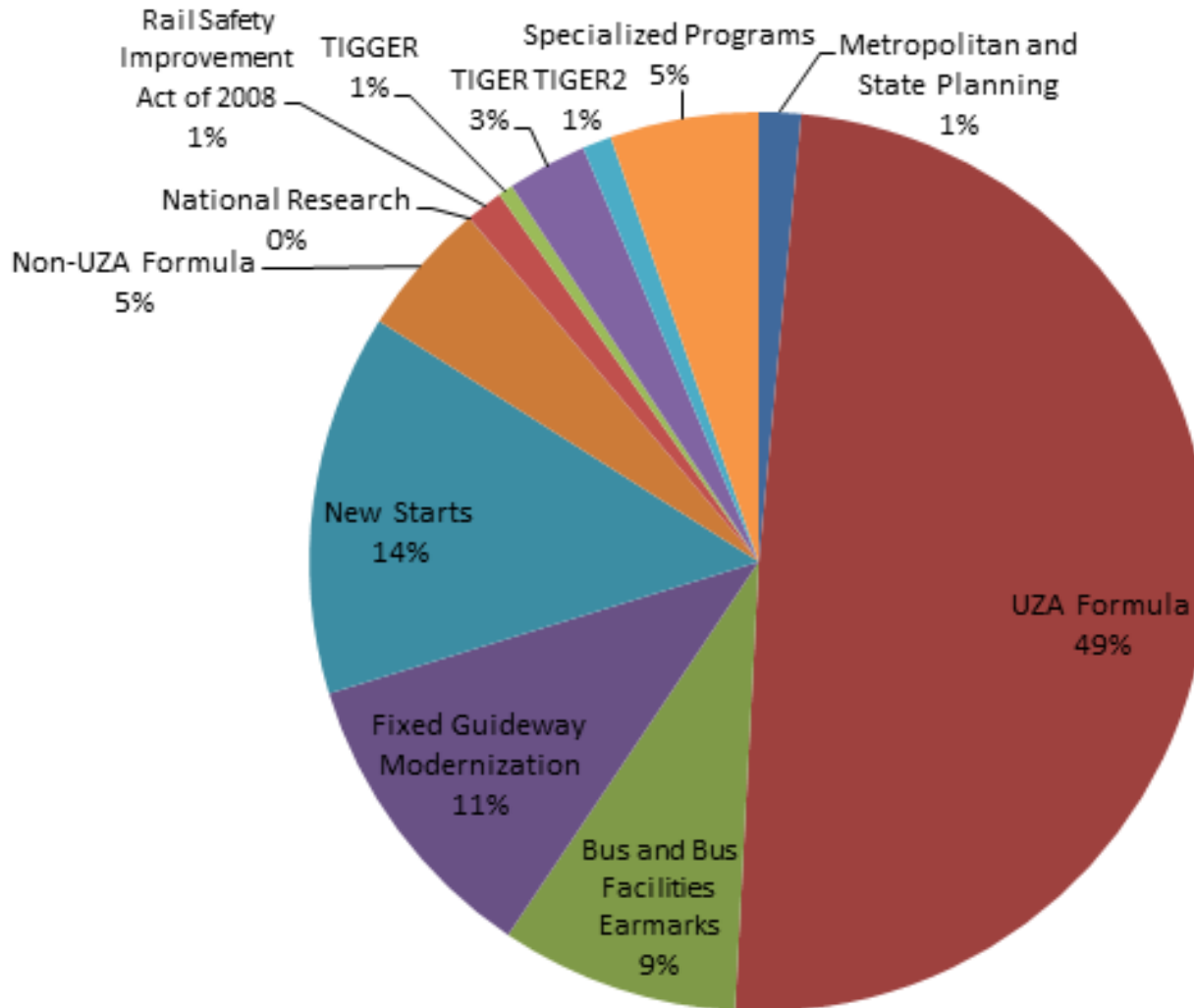
TIGGER, TIGER

Veterans Transportation and Community
Living Initiative





FTA Grant Programs





FTA Grant Programs

Section 5303/5304

- 5303 – Metropolitan Planning Program
 - Provides funding to support cooperative, continuous, and comprehensive planning for making transportation investment decisions in metropolitan areas
- 5304 – Statewide Planning Program
 - Provides financial assistance to States for Statewide transportation planning and other technical assistance activities.
- Projects: Planning
- Eligible Recipients: State DOTs and MPOs (through DOTs)
- Circular: FTA C 8100.1C





FTA Grant Programs

Section 5307: Urbanized Area Formula

- Purpose:
 - Supports transit service in cities over 50,000 in population.
- Typical grantees:
 - Transit Agencies and Authorities; State for areas 50,000-200,000
- Eligible Expenses
 - Capital, Planning, Operating (<200,000)
- Example Projects:
 - Rolling stock purchases, Facility construction/rehab, security equipment, preventive maintenance
- Circular: FTA C 9030.1D





FTA Grant Programs

Section 5311: Non-Urbanized Area Formula

- Purpose:
 - Support public transportation in rural areas, with population of less than 50,000.
- Eligible Subrecipients
 - State or local governmental authority
 - nonprofit organization
 - operator of public transportation or intercity bus service that receives federal transit program grant funds indirectly through a recipient.
- Eligible Expenses
 - Capital, Operating, Administrative expenses
- Example Projects:
 - Rolling stock purchases, operating assistance, program administration
- Circular: FTA C 9040.1F





FTA Grant Programs

Section 5311(f): Intercity Bus

Regularly scheduled bus service for the general public which operates with limited stops over fixed routes connecting two or more urban areas not close in proximity, which has the capacity for transporting baggage carried by passengers, and which makes meaningful connections with scheduled intercity bus service to more distant points, if such service is available.





FTA Grant Programs

Section 5309: New Starts/Small Starts/Very Small Starts

- Purpose:
 - “Fixed Guideway Transit Investments”
- Typical Grantees
 - Public Entities in UZAs
- Eligible Expenses
 - Capital
- Example Projects:
 - Rapid rail, light rail, commuter rail, automated guideway transit, people movers, and exclusive facilities for buses (such as bus rapid transit) and other high occupancy vehicles
- Circular: FTA C 9300.1B





FTA Grant Programs

Section 5309: Bus and Bus Facilities

- Purpose:
 - Supplements formula funding for bus and bus facilities in both urbanized and rural areas
- Typical grantees:
 - Current Direct Recipients: Transit Agencies and Authorities, States, Cities and Counties
- Eligible Expenses
 - Capital Only
- Discretionary Programs
 - State of Good Repair
 - Bus and Bus Facilities Livability
 - Veterans Initiative
- Example Projects
 - Rolling stock replacement, facility rehab, one-click/one-call call centers
- Circular: FTA C 9300.1B





FTA Grant Programs

Section 5309: Fixed Guideway Modernization

- Purpose:
 - Rail recapitalization of rail systems at least 7 years old
- Typical grantees:
 - Public entities
- Eligible Expenses
 - Capital
- Example Projects:
 - Rolling stock purchases, track improvements, signalization, passenger stations and terminals
- Circular: FTA C 9300.1B





FTA Grant Programs

Section 5310: Elderly Individuals & Individuals with Disabilities

- Purpose:
 - Supports cost of special transportation for elderly & people with disabilities
- Eligible Subrecipients:
 - Private non-profit
 - Governmental authorities, when:
 - No non-profits available
 - Approved by state to coordinate services
- Eligible Expenses:
 - Capital (*Pilot: Operating*)
- Example Projects:
 - Vehicle purchase, purchase-of-service, state administration
- Circular: FTA C 9070.1F





FTA Grant Programs

Section 5316: Job Access and Reverse Commute (JARC)

- Purpose:
 - Supports transportation for welfare recipients, eligible low-income individuals to and from jobs and activities related to their employment, and reverse commute activities
- Typical grantees:
 - State DOTs and Transit Authorities
 - Subrecipients: public, private non-private, private operators of public transportation
- Eligible Expenses:
 - Capital, Planning, Operating
- Example Projects:
 - Mobility Management,
 - Vehicle purchase and OA for JARC route
- Circular: FTA C 9050.1





FTA Grant Programs

New Freedom - 5317

- Purpose:
 - New transportation service to assist individuals with disabilities beyond ADA
 - New Service: Not operational on August 10, 2005 **OR** Did not have an identified funding source on August 10, 2005
- Typical grantees:
 - State DOTs and Transit Authorities
 - Subrecipients: public, private non-private, private operators of public transportation
- Eligible Expenses:
 - Capital and Operating
- Example Projects:
 - Cost of expanding paratransit beyond $\frac{3}{4}$ mile or current hours
 - Incremental cost of door-to-door or same day service
 - Accessibility improvements
- Circular: FTA C 9045.1





FTA Grant Programs

Coordinated Planning

- Requirements under SAFETEA-LU in order to receive 5310, 5316 and 5317 funds:
 - DR letter from Governor on file
 - Project derived from a local coordinated plan
 - Project selected through a competitive selection process (5316, 5317)
 - Projects in TIP/STIP
 - Program of Projects (POP)
 - State Management Plan/Program Management Plan developed and approved by FTA (SMP/PMP)





FTA Grant Programs

Research & Demonstration Programs

- **Section 5314: National Research & Technology Program**
 - Joint partnerships with public and private research institutions
 - Study, design and demonstration of transit policies and advanced technologies
 - International mass transportation program (Sec. 5312)
- **Section 5308: National Fuel Cell Bus Program**
 - 27 projects to develop and test fuel cell buses in revenue service
- **Section 5313: Transit Cooperative Research Program**
 - Research directed to local problem-solving in service concepts, vehicles and equipment, operations, human resources, maintenance, policy, and administrative practices
- **Section 5505: University Transportation Centers**
 - Funding to four universities to perform research in transit disciplines and technologies





Guidance Update

SAFETEA-LU/Continuing Resolution (CR)

- SAFETEA-LU continuing resolution extended through June 30, 2012
- May 9, 2012: 75% Apportionment published
- April 12, 2012: \$100M fuel provision allocations published





Guidance Update

Carryover/Lapsing Balances

- Apply for oldest balances first
- Carryover balances need to be reprogrammed in current STIP
- Transfer requests (to other programs or to direct recipients) need to be done in advance
- Best Practice: Application schedule
- Lapsing balances by State





Guidance Update

Fuel Provision

- NOT additional funding
- Funds must be obligated by September 30, 2012
- Federal planning requirements will apply and require coordination with MPOs for programming of these funds for the correct purpose.
- Procurements with these 5307 funds must comply with Federal procurement requirements and include all applicable Federal procurement clauses.

<http://www.gpo.gov/fdsys/pkg/FR-2012-04-12/pdf/2012-8853.pdf>





Guidance Update

LAPSING Deadlines

Region IV lapsing grant deadline for all draft grants requiring DOL certification to be entered in TEAM and under FTA review:

- April 30, 2012

Region IV lapsing grant deadline for submission to DOL:

- May 31, 2012





Guidance Update

2010 Census

- FY 2012 formula allocations based on 2000 Census data and designations.
- The 2010 Census UZA designations and populations will be used for FTA formula funds no earlier than FY 2013.
- Census released its list of Urbanized Areas (UZAs) in March <http://www.census.gov/geo/www/ua/2010urbanruralclass.html>.
- FTA published a summary of potential impacts – http://www.fta.dot.gov/grants/12853_12408.html
- FTA is currently working on guidance to assist current small UZAs designated as large UZAs due to the 2010 Census.





Guidance Update

FY 2011 Discretionary Funding

- Approximately \$1.1B awarded over the last year.
- These programs are funded through unallocated discretionary funding and targeted at FTA's priorities





Guidance Update

FY 2012 Discretionary Funding

- Additional \$900M of Discretionary programs for FY 2012.
- FY 2012 budget does not fund a 4th round of TIGGER
- Continued support for Military Veterans (VTCLI II)





Guidance Update

FY 2012 Discretionary Funding

Discretionary Program	Available Amount (Millions)	NOFA Publication Date	Application Due Dates	Expected Announcement
SGR	\$650	Feb. 7, 2012	3/22/2012	July
Livability	\$125	Feb. 7, 2012	3/29/2012	Late July
Veterans Transportation	\$25	Feb. 7, 2012	4/19/2012	Late summer
Clean Fuels Bus Program	\$1.5	Feb. 7, 2012	4/5/2012	Expected during the late summer
Alternatives Analysis	\$25	3/12/2012	4/19/2012	Summer
Tribal Transit	\$15	3/9/2012	5/10/2012	Late Summer





Discretionary Programs— FY 2011

Alternative Analysis -- FY11

Federal Register Notice announcements: November 7, 2011

National: 34 awards totaling \$25.4M

Region IV: 6 winning awards totaling \$4.5M

FL: 2 awards in FL totaling \$2,700,000

Broward MPO - Fort Lauderdale (Broward Metropolitan Planning Organization)

Project: University Drive Alternatives Analysis, **Grant Amount:** \$1,500,000

27-mile northwest University Drive corridor between Sample Road and Hallandale Beach Boulevard in Broward County, and will explore enhanced bus and bus rapid transit alternatives.

LYNX Orlando (LYNX)

Project: State Road 50/UCF Connector AA, **Grant Amount:** \$1,200,000

The study will examine the 21.5-mile east-west segment along State Road 50 between the University of Central Florida in Orange County, passing through downtown Orlando and connecting with two SunRail stations south of SR 50. The study is in response to population and employment growth.





Discretionary Programs– FY 2011

Bus and Bus Facility Livability – FY11

Federal Register Notice announcements: November 7, 2011

National: 55 awards totaling \$150.2M

Region IV: 6 winning awards totaling \$17.6M,

FL: 3 award winners totaling \$15,556,000

LYNX Kissimmee

Project: Kissimmee Intermodal Transfer Center, Grant Amount: \$2,000,000

Construction of the Kissimmee Intermodal Transfer Center. Permanent home for LYNX Osceola County.

Gainesville City of Gainesville

Project: Gainesville Regional Transit System (RTS) Facility Expansion, Grant Amount: \$9,000,000

Construct Phase II of the Gainesville Multimodal Maintenance Expansion. LEED certified.

SFRTA South Florida Regional Transportation Authority

Project: SFRTA Alternative Fuel Shuttle Bus Fleet, Grant Amount: \$4,556,000

Replace its shuttle bus fleet with alternative fuel vehicles.





Discretionary Programs– FY 2011

State of Good Repair – FY11

Federal Register Notice announcements: November 7, 2011,

National: 137 awards totaling \$752.6M,

Region IV: 19 winning awards totaling \$82.7M

FL: 6 winners totaling \$20,724,716

FDOT Florida Department of Transportation

Grant Amount: \$468,736

Pinellas Suncoast Transit Auth

Grant Amount: \$5,000,000

Jacksonville Transportation Authority (JTA)

Grant Amount: \$308,200

St. Johns County

Grant Amount: \$527,780

Lee County Cape Coral/Bonita Springs

Grant Amount: \$13,920,000

Indian River County

Grant Amount: \$500,000





Discretionary Programs– FY 2011

Clean Fuels – FY11

Federal Register Notice announcements: November 12, 2011

National: Totaling \$51.5M

Region IV: 3 winning awards totaling \$6.9M

FL: 2 winners totaling \$5,320,000

City of Gainesville, Grant Amount: \$3,000,000.00

Replace buses with hybrid-electric biodiesel buses. City will also retrofit existing biodiesel buses with electric cooling systems that can increase fuel efficiency.

Hillsborough Area Regional Transit Authority, Grant Amount: \$2,320,000.00

Design and build a new CNG fueling station to support the transition of its fixed route fleet to CNG power. Upgrade maintenance facility.





Discretionary Programs– FY 2011

TIGGER – FY11

Federal Register Notice announcements: December 12, 2011

National: Award totaling \$49.9 M

Region IV: 3 winning awards totaling \$12.3M

FL: 1 winner totaling \$5,713,549

South Florida Regional Transportation Authority

Grant Amount: \$5,713,549.00

The Pompano Beach Green Station Demonstration -- demonstration project, Tri-Rail's first green, LEED certified, sustainable station, which will generate more than 100 percent of its energy demand through solar panels.





Discretionary Programs– FY 2011

TIGER – FY11

Region IV: 1 winning award totaling \$18M

FL: No FL awards





Discretionary Programs– FY 2011

Veterans Initiative

**Federal Register Notice announcement: December 19, 2011,
National:\$30M, Region IV: 10 awards totaling \$9.3M**

FL: 6 winners totaling \$7,362,543

Pinellas County MPO , Grant Amount: \$1,098,339

Broward MPO, Grant Amount: \$539,937

City of Tallahassee, Grant Amount: \$1,200,000

Jacksonville Transportation Authority, Grant Amount: \$1,925,200

Polk County Board of County Commissioners, Grant Amount: \$1,542,267

**Central Florida Regional Transportation Authority (LYNX), Grant Amount:
\$1,056,800**





Discretionary Programs– FY 2011

Tribal transit – FY11

Federal Register Notice announcement: January 5, 2012

National: \$15M were available

Region IV: 4 winning awards totaling \$881K

FL: No FL awards





National Initiatives and Priorities

- Transit Safety
- Livable Communities
- Environmental Sustainability
- State of Good Repair
- Veterans Transportation and Community Living Initiative
- Economic Competitiveness





Livable Communities Initiative

"Enhance the economic and social well being of all Americans by creating and maintaining a safe, reliable, integrated and accessible transportation network that enhances choices for transportation users, provides easy access to employment opportunities and other destinations, and promotes positive effects on the surrounding community."

- Under the Initiative, Federal policy will enable communities to:
 - Provide more transportation choices
 - Promote equitable and affordable housing
 - Enhance economic competitiveness
 - Target resources to existing communities
 - Coordinate and leverage federal policies and investments
 - Value unique characteristics of communities, no matter their size





Livable Communities Initiative



Eligibility/Purpose

- Interagency Partnership for Sustainable Communities (DOT-HUD-EPA)
 - Highlights need for coordinated federal programs to increase **transportation choices**, promote **affordable housing**, and invest in existing communities.
- Technical assistance, research and regional pilot programs.

The Money

- Nearly \$300 million FTA funds for bus and rail capital projects. (e.g. Urban Circulator and Bus Livability Programs)
- Additional DOT, HUD or EPA funding available to support specific livability planning efforts.





Environmental Sustainability

Eligibility/Purpose

- Transit plays a key role in supporting sustainability efforts, through environmental benefits and energy savings.
- Assist state and local governments in providing **environmentally sustainable** transportation solutions.
- Support environmentally sustainable policies and investments that **reduce carbon and other harmful emissions** from transportation sources.



The Money

- FTA funding included:
 - TIGGER I, II and III: \$287 Million of FTA funds for capital investments to reduce the energy consumption or greenhouse gas emissions.
 - Clean Fuels Program





State of Good Repair



Eligibility

- Recapitalization and maintenance issues, asset management practices and innovative financing strategies.
- Measuring the condition of transit capital assets, prioritizing local transit re-investment decisions and preventive maintenance practices.
- Best asset management practices and integrated decision making to prioritize maintaining existing assets.

The Money

- In FY 2012, up to \$650 Million in FTA funding made available to finance SGR projects.





American Recovery and Reinvestment Act

- President Obama signed the American Recovery and Reinvestment Act (ARRA) in February 2009.

The Money

- FTA has awarded over 1,000 grants for over \$8.6 billion.
 - All transit formula funds provided by ARRA have been "obligated" or committed to specific transit projects.
- Florida: \$316 M in FTA formula funding.
- All ARRA funds to be expended by 30 Sept. 2013





On the Horizon: 2012 and Next Authorization



- FY 2012 Goals: Safety & Security, State of Good Repair, Livable Communities, Research & Tech
- And beyond... request for more funding to support existing programs and major initiatives
- Next Authorization Priorities
 - Invest in the Economy
 - Improving Surface Transportation Safety
 - Livable and Sustainable Communities
 - Reduction in GHG Emissions and Energy Usage
 - Accountability, Transparency and Performance-based Investments



How To Learn More

- ✓ Visit the FTA Website:
www.fta.dot.gov
- ✓ Start early: Identify needs and integrate them into planning processes
- ✓ Gain familiarity with the federal funding streams
- ✓ Sign up for web alerts
- ✓ Contact Us!





Thank You!

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